

Application for a Contractor's licence — Legal person

Business enterprises domiciled in Newfoundland and Labrador and exempted from the professional qualifications evaluation process

IF YOU FILL OUT THIS FORM BY HAND, PLEASE WRITE IN INK, USING BLOCK CAPITALS.

Use this form to apply for a licence or to modify your licence.

This form is intended for **legal persons**: enterprise constituted by certificates of incorporation, articles of incorporation, letters patent, etc. Any incorporated enterprise is a legal person. Persons holding a legal person's shares are called shareholders.

In order to be exempted from the RBQ's evaluation process, the enterprise must have been registered with the Commercial and Corporate Branch of the Department of Government Services and Lands of Newfoundland and Labrador for at least 5 years.

Otherwise, the enterprise must comply with the requirements of the qualification evaluation process and use the usual contractor's licence application form, which is available on the RBQ's website.

The endnotes refer to explanations at the end of this document.

RBQ use only:
ontact information (a post office box is not accepted).
Postal code:
Fax no (optional):
Cell phone no (optional):

Application #: 1-

2.2. Mandatory declarations of the enterprise

You must answer all the questions and declare any indictable offence, whether related or not related to the construction industry. The RBQ may at any time verify this information with Equifax Canada Inc. and the Sûreté du Québec.

Making a false statement constitutes an offence punishable with a fine and may lead to the refusal to issue a licence, the suspension of a licence or the cancellation of a licence.

YOU MUST ANSWER ALL THE QUESTIONS SO THAT YOUR APPLICATION IS COMPLETE.

In the last 5 years, unless it has obtained a pardon, has the enterprise been convicted by a court of Québec or outside of Québec:

of an indictable offence under section 45 or 47 of the Competition Act (R.S.C., 1985, c. C-34)?	Yes	No
of an indictable offence under paragraph 1 of section 380, or any of sections 467.11 to 467.13 of the Criminal Code (R.S.C., 1985, c. C-46)?	Yes	No
of an offence under sections 5, 6 or 7 of the Controlled Drugs and Substances Act (S.C. 1996, c. 19)?	Yes	No
of any other indictable offence?	Yes	No
of an offence under the Income Tax Act?	Yes	No
of an offence under the Excise Tax Act (GST)?	Yes	No
of an offence under the Taxation Act?	Yes	No
of an offence under the Tax Administration Act?	Yes	No
of an offence under the Act respecting the Québec sales tax (QST)?	Yes	No
of an offence under any of fiscal law?	Yes	No
of an offence under the Consumer Protection Act (OPC)?	Yes	No
of an offence under the Act respecting occupational health and safety (CNESST)?	Yes	No
of an offence under the Act respecting labour relations, vocational training and workforce management in the construction industry (CCQ)?	Yes	No

2.3. Subclasses applied for⁵

In this section, you must indicate the subclasses you wish to add to your licence and show that you have gained the necessary experience to carry out the works authorized by the subclasses of Schedule I and II of the Regulation respecting the professional qualification of contractors and owner-builders. Consult the list of subclasses at www.rbq.gouv.qc.ca/subclasses.

For each subclass of Schedule I and Schedule II applied for, you must attach 5 supporting documents (one for each of the last 5 years) proving that you have gained the necessary experience to carry out the works authorized by this subclass. The accepted supporting documents are contracts or invoices with a detailed description of the works carried out. On these contracts and invoices, you have to identify the works that are relevant for the subclass applied for in this application.

For each supporting document, you have to fill out the annex 3 of this form.

In the table below:

- 1. Enter the subclass number applied for in this application.
- 2. Indicate which supporting documents you attach to this form (for the subclasses included in the Schedule I and II).

Subclass applied for	Supporting document
Subclass no.:	

3. Identification of the officers

You must identify all the enterprise's officers⁶. Provide also:

- a copy of a photo ID for each director listed in section Information on the officer (below). It must be issued by a government authority. Examples: passport, driver's licence, national ID card with a photo.
- the annex 2 of this form for each officer who is a legal person or a partnership.

MAKE PHOTOCOPIES OF ALL THE **NECESSARY PAGES IF YOU HAVE MORE** OFFICERS TO IDENTIFY.

3.1. Information on the officer

Natural person		Enterprise (legal person or partnership)
Mr. Ms.	1	Name of enterprise:
Last name:	1	NEQ (Québec Enterprise Number):
First name:		
Date of birth (yyyy-mm-dd): nter the home address of the na		RBQ use only: Iterprise's head office (a post office box is not accepted)
nter the home address of the na		
nter the home address of the na Address (number, street and city):		nterprise's head office (a post office box is not accepted)

Shareholder⁷: ____ ______ % of the voting rights attached to the shares Director (member of B of D)8 Officer (not a member of B of D)

3.2. Information on the officer

Is this person a natural person or an enterprise (legal person or partnership)?

Natural person	Enterprise (legal person or partnership)
Mr. Ms.	Name of enterprise:
Last name:	NEQ (Québec Enterprise Number):
First name:	
Date of birth (yyyy-mm-dd):	RBQ use only:

Enter the home address of the natural person or the adress of the enterprise's head office (a post office box is not accepted).

Address (number, street and city):			
Province:			Postal code:
Phone no:	Extension:	Fax no (op	otional):
Email:			Cell phone no (optional):

Check the box applicable for the officer's role or roles.

Shareholder: ______ % of the voting rights attached to the shares Director (member of B of D)

Officer (not a member of B of D)

3.3. Mandatory declarations of the officer(s)

of an offence under the Tax Administration Act?

of an offence under any of tax law?

Enter the name of the concerned officer(s):

of an offence under the Act respecting the Québec sales tax (QST)?

You must answer all the questions and declare any indictable offence, whether related or not related to the construction industry. The RBQ may at any time verify this information with Equifax Canada Inc. and the Sûreté du Québec.

Making a false statement constitutes an offence punishable with a fine and may lead to the refusal to issue a licence, the suspension of a licence or the cancellation of a licence.

YOU MUST ANSWER ALL THE QUESTIONS SO THAT YOUR APPLICATION IS COMPLETE.

A.	Among the officers you listed, has one of them been convicted by a court of Québec or outside of Québec (check no if	they	obtained
	a pardon):		

of an indictable offence under sec	ction 45 or 47 of the Competition A	ct (R.S.C., 1985, c. C-34)?	
Yes, 5 years ago or less	Yes, more than 5 years ago	No	
	ragraph 1 of section 380, under sec	tion 462.31 or any of sections 467.	11 to 467.13 of the Criminal
Yes, 5 years ago or less	Yes, more than 5 years ago	No	
of an offence under sections 5, 6	or 7 of the Controlled Drugs and Su	bstances Act (S.C. 1996, c. 19)?	
Yes, 5 years ago or less	Yes, more than 5 years ago	No	
of any other indictable offence?			
Yes, 5 years ago or less	Yes, more than 5 years ago	No	
·	-	ast questions:	
Was a sentence to imprisonment Yes No	imposed because of the offence or in	ndictable offence ⁹ ?	
Québec:	s they obtained a pardon, has or	e of them been convicted by a	
•		e of them been convicted by a	court of Québec or outside Yes No
Québec:	ax Act?	e of them been convicted by a	
	Yes, 5 years ago or less of an indictable offence under path Code (R.S.C., 1985, c. C-46)? Yes, 5 years ago or less of an offence under sections 5, 6 Yes, 5 years ago or less of any other indictable offence? Yes, 5 years ago or less ou answered "Yes, 5 years ago or less ou answered "Yes, 5 years ago or less Enter the name of the concerned length of the conce	Yes, 5 years ago or less Yes, more than 5 years ago of an indictable offence under paragraph 1 of section 380, under sectode (R.S.C., 1985, c. C-46)? Yes, 5 years ago or less Yes, more than 5 years ago of an offence under sections 5, 6 or 7 of the Controlled Drugs and Su Yes, 5 years ago or less Yes, more than 5 years ago of any other indictable offence? Yes, 5 years ago or less Yes, more than 5 years ago ou answered "Yes, 5 years ago or less" to at least one of the last of Enter the name of the concerned officer(s): Enter the name of the concerned officer(s): Was a sentence to imprisonment imposed because of the offence or in	Yes, 5 years ago or less Yes, more than 5 years ago No of an indictable offence under paragraph 1 of section 380, under section 462.31 or any of sections 467. Code (R.S.C., 1985, c. C-46)? Yes, 5 years ago or less Yes, more than 5 years ago No of an offence under sections 5, 6 or 7 of the Controlled Drugs and Substances Act (S.C. 1996, c. 19)? Yes, 5 years ago or less Yes, more than 5 years ago No of any other indictable offence? Yes, 5 years ago or less Yes, more than 5 years ago No ou answered "Yes, 5 years ago or less" to at least one of the last questions: Enter the name of the concerned officer(s): Enter the name of the concerned officer(s): Was a sentence to imprisonment imposed because of the offence or indictable offence ⁹ ?

В.

Yes

Yes

Yes

No

No

C.	Has any of the enterprise's officers ever filed for personal bankruptcy?	Yes	No
	If you answered yes:		
	Enter the name of the concerned officer(s):		
	Enter the date of the bankruptcy:		
D.	In the last 3 years, has one of the officers acted as the officer of another enterprise that filed for bankruptcy?	Yes	No
	If you answered yes, enter the name of the concerned officer(s):		
Ε.	Was any of the officers the officer of a partnership or a legal person during the 12 months preceding the cessation of this partnership's or legal person's activities as a contractor?	Yes	No
	If yes, please join a signed explanatory letter. In this letter, write the name of the partnership or legal person, the licence number and the reason behind the cessation of the activities.		
F.	In the last 5 years, was any of the officers the officer of a partnership or a legal person that had been convicted of an offence to a tax law or of any other indictable offence?	Yes	No
	If you answered yes, enter the name of the concerned officer(s):		

4. Identification of shareholders holding less than 10% of the voting rights attached to the shares

Check this box if you are applying for a modification of licence only and there are no changes related to the shareholders holding less than 10% of the voting rights attached to the shares. If you have checked this box, go to next section.

Are there shareholders holding less than 10% of the voting rights attached to the shares in the enterprise?

Yes No

If not, go to the next section. If yes, you must identify all the shareholders holding less than 10% of the voting rights attached to the shares in the enterprise. You must also fill out the annex 2 of this form for each shareholder who is a legal person or a partnership.

MAKE PHOTOCOPIES OF THIS PAGE IF YOU HAVE MORE THAN ONE SHAREHOLDER.

4.1. Information on the shareholder

Is this person a natural person or an enterprise (legal person or partnership)?

Natural person	Enterprise (legal person or partnership)
Mr. Ms.	Name of enterprise:
Last name:	NEQ (Québec Enterprise Number):
First name:	
Date of birth (yyyy-mm-dd):	RBQ use only:

What percentage of the voting rights attached to the shares does this shareholder hold?

| %

Enter the home address of the natural person or the adress of the enterprise's head office (a post office box is not accepted).

Address (number, street and city):				
Province:			Postal code:	
Phone no:	Extension:	Fax no (op	otional):	
Email:			Cell phone no (optional):	

4.2. Mandatory declarations of the shareholders

You must answer all the questions and declare any indictable offence, whether related or not related to the construction industry. The RBQ may at any time verify this information with Equifax Canada Inc. and the Sûreté du Québec.

Making a false statement constitutes an offence punishable with a fine and may lead to the refusal to issue a licence, the suspension of a licence or the cancellation of a licence.

of an offence under the Act respecting the Québec sales tax (QST)?

of an offence under any of tax law?

Enter the name of the concerned officer(s):

YOU MUST ANSWER ALL THE QUESTIONS SO THAT YOUR APPLICATION IS COMPLETE.

Α.	Among the shareholders you previously listed, has any of them been convicted by a court of Québec or outside of Québec
	(check no if they obtained a pardon):

	Yes, more than 5 years ago	No	
of an indictable offence under pa	ragraph 1 of section 380, under sec	ion 462.31 or any of sections 467.11 to	o 467.13 of the Criminal
Code (R.S.C., 1985, c. C-46)?			
Yes, 5 years ago or less	Yes, more than 5 years ago	No	
of an offence under sections 5, 6 c	or 7 of the Controlled Drugs and Substa	ances Act (S.C. 1996, c. 19)?	
Yes, 5 years ago or less	Yes, more than 5 years ago	No	
of any other indictable offence?			
Yes, 5 years ago or less	Yes, more than 5 years ago	No	
ou answered "Yes, more than 5 y	years ago" to at least one of the I	ast questions:	
ou answered "Yes, more than 5 yes. Enter the name of the concerned	_	ast questions:	
	_	ast questions:	
Enter the name of the concerned	_		
Enter the name of the concerned Was a sentence to imprisonment Yes No Ong the shareholders you previous	shareholder(s): imposed because of the offence or in		-
Enter the name of the concerned Was a sentence to imprisonment Yes No Ong the shareholders you previous previ	shareholder(s): imposed because of the offence or in busly listed, unless they obtained ax Act?	ndictable offence?	Yes No
Enter the name of the concerned Was a sentence to imprisonment Yes No Ong the shareholders you previous Ouébec or outside of Québec: of an offence under the Income To	shareholder(s): imposed because of the offence or in busly listed, unless they obtained fax Act? x Act (GST)?	ndictable offence?	Yes No
Enter the name of the concerned Was a sentence to imprisonment Yes No Ong the shareholders you previous previ	shareholder(s): imposed because of the offence or in busly listed, unless they obtained fax Act? x Act (GST)? Act?	ndictable offence?	Yes No

В.

Yes

Yes

No

5. Identification of the shareholders holding shares without voting rights

Check this box if you are applying for a modification of licence only and there are no changes related to the shareholders without voting rights. If you have checked this box, go to next section.

Are there shareholders without voting rights in the enterprise?

Yes No

If not, go to next section. If yes, you must identify all the shareholders without voting rights in the enterprise. You must also fill out the annex 2 of this form for each shareholder who is a legal person or a partnership.

MAKE PHOTOCOPIES OF THIS PAGE IF YOU HAVE MORE THAN ONE SHAREHOLDER.

5.1. Information on the shareholder

Is this person a natural person or an enterprise (legal person or partnership)?

Natural person	Enterprise (legal person or partnership)
Mr. Ms.	Name of enterprise:
Last name:	NEQ (Québec Enterprise Number):
First name:	
Date of birth (yyyy-mm-dd):	RBQ use only:

Enter the home address of the natural person or the adress of the enterprise's head office (a post office box is not accepted).

·		<u> </u>	• •	• •
Address (number, street and city):				
Province:			Postal code:	
Phone no:	Extension:	Fax no (op	tional):	
Email:			Cell phone no (optional):	

5.2. Mandatory declarations of the shareholders

You must answer all the questions and declare any indictable offence, whether related or not related to the construction industry. The RBQ may at any time verify this information with Equifax Canada Inc. and the Sûreté du Québec.

Making a false statement constitutes an offence punishable with a fine and may lead to the refusal to issue a licence, the suspension of a licence or the cancellation of a licence.

of an offence under the Act respecting the Québec sales tax (QST)?

of an offence under any of tax law?

Enter the name of the concerned officer(s):

YOU MUST ANSWER ALL THE QUESTIONS SO THAT YOUR APPLICATION IS COMPLETE.

Α.	Among the shareholders you previously listed, has any of them been convicted by a court of Québec or outside of Québec
	(check no if they obtained a pardon):

	of an indictable offence under se	ction 45 or 47 of the Competition A	ct (R.S.C., 1985, c. C-34)?		
	Yes, 5 years ago or less	Yes, more than 5 years ago	No		
	of an indictable offence under pa Code (R.S.C., 1985, c. C-46)?	aragraph 1 of section 380, under sec	tion 462.31 or any of sections	467.11 to 467.13 of the Crir	ninal
	Yes, 5 years ago or less	Yes, more than 5 years ago	No		
	of an offence under sections 5, 6 c	or 7 of the Controlled Drugs and Subst	ances Act (S.C. 1996, c. 19)?		
	Yes, 5 years ago or less	Yes, more than 5 years ago	No		
	of any other indictable offence?				
	Yes, 5 years ago or less	Yes, more than 5 years ago	No		
,	Enter the name of the concerned	years ago" to at least one of the shareholder(s):	ast questions:		
	Was a sentence to imprisonment Yes No	imposed because of the offence or i	ndictable offence?		
	ong the shareholders you previc Québec or outside of Québec:	ously listed, unless they obtained	a pardon, has any of them b	peen convicted by a court	
	of an offence under the Income 1	Гах Act?		Yes	No
	of an offence under the Excise Ta	x Act (GST)?		Yes	No
	of an offence under the Taxation	Act?		Yes	No
	of an offence under the Tax Adm	inistration Act?		Yes	No

B. A

Yes

Yes

No

6. Identification of the lenders

Check this box if you apply for a licence modification only and if there is no changes concerning the lenders. If you checked this box, go to the next section.

MAKE PHOTOCOPIES OF THIS PAGE IF YOU HAVE MORE THAN ONE LENDER.

Enterprise (legal person or partnership)

Name of enterprise:

NEQ (Québec Enterprise Number):

Are there lenders in the enterprise 10?

Yes No

Natural person

Mr.

Last name:

If not, go to the next section. If yes, you must identify all the enterprise's lenders. For each lender, you must fill out the annex 1, "Statement of the lender and their officers."

6.1. Information on the lender

Ms.

	Is this	person a natural	person or a	n enterprise (legal	person or	partnership)?
--	---------	------------------	-------------	----------------	-------	-----------	---------------

First name:					
Date of birth (yyyy-mm-dd):		RBQ use	RBQ use only:		
Enter the home address of the na	tural person or the adress of t	he enterprise	se's head office (a post office box is not accepted).		
Address (number, street and city):					
Province:			Postal code:		
Phone no:	Extension:	Fax	no (optional):		
Email:	·		Cell phone no (optional):		
be provided to the RBQ by the control Name of the guarantor (company or Number of security ¹³ :		d. 			
8. Formal declaration	ion of the officer				
I declare that I apply for a licence or the and that I am designated to sign this		oehalf of the er	enterprise identified at section Identification of the enterpri	se,	
I declare that all the information provisituation of the enterprise, its officers		g its annexes, i	is accurate and complete, and that it represents the real $% \left(1\right) =\left(1\right) \left(1\right) \left($		

Last name:

Signature:

First name:

Date of signature (yyyy-mm-dd):

Instructions on documents to attach, sending the application and payment

Documents to send

To avoid additional processing delays, join the required documents.

- · Duly completed form with original signatures.
- Cheque to the exact amount, written to the ministre des Finances or to the Régie du bâtiment du Québec.

SEE THE FEE STRUCTURE AT www.rbq.gouv.qc.ca/fee-structure.

- Copy of photo identification issued by a government authority for each officer of the enterprise¹⁴.
- Explanatory letter for each officer who has been an officer of a legal person or a partnership during the 12 months preceding the cessation of their activities as contractors.
- · Original certificate of licence security.
- Copy of Certificate of Absolute Discharge for each officer who declared bankruptcy.
- Proof of registration, for at least 5 years, with the Commercial and Corporate Affairs Branch of Newfoundland and Labrador's Department of Government Services and Lands.

Sending the form and payment

By mail

Please send the original form with the payment and all the required documents by mail at:

Régie du bâtiment du Québec Service à la clientèle 255, boulevard Crémazie Est, local 040 Montréal (Québec) H2M 1L5

Payment

The total amount may be paid by cheque or postal money order, written to the ministre des Finances or the RBQ. If you know your RBQ file number, write it on the back of the cheque. Postdated cheques and cash are not accepted.

In person

You can submit your application in person at: 255, boulevard Crémazie Est, local 040 Montréal (Québec) H2M 1L5

Payment

The total amount may be paid by credit card, debit card, cash, cheque or postal money order, written to the ministre des Finances or the RBQ. If you know your RBQ file number, write it at the back of the cheque.

ATTENTION! BEFORE SENDING YOUR APPLICATION, MAKE SURE TO:

- join the cheque or the postal money order to the right amount
- · join the original certificate of licence security
- answer all mandatory statements, including the ones on indictable offences
- check that all sections are completed, that all the required documents are attached and that the formal statement and appendixes are signed.

Protection of personal information

Personal information that you submit to the RBQ as well as that which will be added to your file remain confidential, with the exception of the information published in the Licence holders' repertory, which can be consulted at www.rbq.gouv.qc.ca. The RBQ may use this information for the administration and enforcement of the Building Act. Only employees assigned to the enforcement of this Act shall have access to this information, to the extent that it is necessary for the performance of their duties.

Destruction of personal identity documents

The copy of any ID is destroyed in compliance with the Act respecting Access to documents held by public bodies and the Protection of personal information (chapter A-2.1) and the Archives Act (chapter A-21.1), following the issue of a licence, the refusal to issue a licence (final decision), the abandon of the licence application or if the application is returned to the sender because it is incomplete. Any invalid ID will be destroyed upon its receipt.

Processing your application

When we receive your licence application, we ensure that all of the form's sections have been completed and that all required documents and the payment are included.

If your application is incomplete, we will contact you in writing. You will then have a 21-day deadline to send us the missing documents or information to process your request.

To learn more

Régie du bâtiment du Québec (RBQ)

For any questions regarding this application, contact the RBQ:

Phone: 514 864-8997 or 1 888 271-1827

Email: rbq.ententes.quebec-ontario@rbq.gouv.qc.ca

Department of Government Services of Newfoundland and Labrador

Department of Government Services
Consumer and Commercial Affaires Branch
Commercial Registrations Division
Registry of Companies
Ground Floor, East Block
Confederation Building
PO Box 8700

St. John's (Newfoundland and Labrador) $\,$ A1B 4J6 $\,$

Phone: 709-729-3317 Web site: www.gov.nl.ca

ANNEX 1 – Statement of the lender and their officers

This section must be **completed by the lender** or, if they are a legal person or partnership, one of their officers.

MAKE PHOTOCOPIES OF THIS ANNEX IF YOU HAVE MORE THAN ONE LENDER.

1. Identification of the lender

Is this person a natural person or an enterprise (legal person or partnership)?

Natural person	Enterprise (legal person or partnership)
Mr. Ms.	Name of enterprise:
Last name:	NEQ (Québec Enterprise Number):
First name:	
Date of birth (yyyy-mm-dd):	RBQ use only:

2. Mandatory declarations of the lender

You must answer all the questions and declare any indictable offence, whether related or not related to the construction industry. The RBQ may at any time verify this information with Equifax Canada Inc. and the Sûreté du Québec.

Making a false statement constitutes an offence punishable with a fine and may lead to the refusal to issue a licence, the suspension of a licence or the cancellation of a licence.

YOU MUST ANSWER ALL THE QUESTIONS SO THAT YOUR APPLICATION IS COMPLETE.

In the 5 years before the loan was granted, unless you obtained a pardon, have you ever been convicted by a court of Québec or outside of Québec:

of an indictable offence under section 45 or 47 of the Competition Act (R.S.C., 1985, c. C-34)?	Yes	No
of an indictable offence under paragraph 1 of section 380, under section 462.31 or any of sections 467.11 to 467.13 of the Criminal Code (R.S.C., 1985, c. C-46)?	Yes	No
of an offence under sections 5, 6 or 7 of the Controlled Drugs and Substances Act (S.C. 1996, c. 19)?	Yes	No
of any other indictable offence?	Yes	No
of an offence under the Income Tax Act?	Yes	No
of an offence under the Excise Tax Act (GST)?	Yes	No
of an offence under the Taxation Act?	Yes	No
of an offence under the Tax Administration Act?	Yes	No
of an offence under the Act respecting the Québec sales tax (QST)?	Yes	No
of an offence under any other tax law?	Yes	No

3. Identification of the lender's officers

If the lender is a legal person or a partnership, you must identify all their officers and complete the declarations.

MAKE PHOTOCOPIES OF THIS SECTION IF YOU HAVE MORE THAN 2 OFFICERS.

3.1. Identification of the lender's officer

Natural person	Enterprise (legal person or partnership)	
Mr. Ms.	Name of enterprise:	
Last name:	NEQ (Québec Enterprise Number):	
First name:		
Date of birth (yyyy-mm-dd): RBQ use only:		
Enter the home address of the natural person or the adress of the	e enterprise's head office (a post office box is not accepted).	
Address (number, street and city):		

Extension:

Postal code:

Cell phone no (optional):

Fax no (optional):

3.2. Identification of the lender's officer

Is this person a natural person or an enterprise (legal person or partnership)?

Natural person	Enterprise (legal person or partnership)
Mr. Ms.	Name of enterprise:
Last name:	NEQ (Québec Enterprise Number):
First name:	
Date of birth (yyyy-mm-dd):	RBQ use only:

Enter the home address of the natural person or the adress of the enterprise's head office (a post office box is not accepted).

Address (number, street and city):					
Province:	Postal code:				
Phone no:	otional):				
Email:			Cell phone no (optional):		

Province:

Phone no:

Email:

3.3. Mandatory declarations of the lender's officers

You must answer all the questions and declare any indictable offence, whether related or not related to the construction industry. The RBQ may at any time verify this information with Equifax Canada Inc. and the Sûreté du Québec.

Making a false statement constitutes an offence punishable with a fine and may lead to the refusal to issue a licence, the suspension of a licence or the cancellation of a licence.

YOU MUST ANSWER ALL THE QUESTIONS SO THAT YOUR APPLICATION IS COMPLETE.

In the 5 years before the loan was granted, unless a pardon was obtained, has any of the officers ever been convicted by a court of Québec or outside of Québec:

of an indictable offence under section 45 or 47 of the Competition Act (R.S.C., 1985, c. C-34)?	Yes	No
of an indictable offence under paragraph 1 of section 380, under section 462.31 or any of sections 467.11 to 467.13 of the Criminal Code (R.S.C., 1985, c. C-46)?	Yes	No
of an offence under sections 5, 6 or 7 of the Controlled Drugs and Substances Act (S.C. 1996, c. 19)?	Yes	No
of any other indictable offence?	Yes	No
of an offence under the Income Tax Act?	Yes	No
of an offence under the Excise Tax Act (GST)?	Yes	No
of an offence under the Taxation Act?	Yes	No
of an offence under the Tax Administration Act?	Yes	No
of an offence under the Act respecting the Québec sales tax (QST)?	Yes	No
of an offence under any other tax law?	Yes	No

3.4. Signature

Mandatory original signature of the lender or their officer

I declare that all the information represents the real situation of the lender and their officers, and if the lender is a legal person or a partnership, I am authorized to sign on their behalf.

Last name:	First name:
Mandatory original signature of the lender or their officer:	Date of signature (yyyy-mm-dd):

Protection of personal information

Personal information that you submit to the RBQ as well as that which will be added to your file remain confidential, with the exception of the information published in the Licence holders' repertory, which can be consulted at www.rbq.gouv.qc.ca. The RBQ may use this information for the administration and enforcement of the Building Act. Only employees assigned to the enforcement of this Act shall have access to this information, to the extent that it is necessary for the performance of their duties.

ANNEX 2 – Identification of the officers of the legal person or the partnership identified in sections 3, 4 and 5

This annex must be completed by one of the officers of the legal person or the partnership identified at sections 3, 4 and 5 of this form.

Enter the name of the legal person or the partnership.

Name of legal person or partnership:

MAKE PHOTOCOPIES OF THIS ANNEX IF THERE IS MORE THAN ONE LEGAL PERSON OR PARTNERSHIP IDENTIFIED ON THE APPLICATION. MAKE A PHOTOCOPY OF THE SECTION IDENTIFICATION OF THE OFFICERS IF THE LEGAL PERSON OR PARTNERSHIP HAS MORE THAN 2 OFFICERS.

	1			C-	-					c.			cc.		
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	T.	. –			au	4 1	VI.		v		9 .	U		199	-

1.1. Identification of an officer

Is this person a natural person or an enterprise (legal person or partnership)?

Natural person	Enterprise (legal person or partnership)
Mr. Ms.	Name of enterprise:
Last name:	NEQ (Québec Enterprise Number):
First name:	
Date of birth (yyyy-mm-dd):	RBQ use only:
Enter the home address of the natural person or the adress of the	e enterprise's head office (a post office box is not accepted).

Address (number, street and city):					
Province:	Postal code:				
Phone no:	otional):				
Email:			Cell phone no (optional):		

1.2. Identification of an officer

Is this person a natural person or an enterprise (legal person or partnership)?

Natural person	Enterprise (legal person or partnership)
Mr. Ms.	Name of enterprise:
Last name:	NEQ (Québec Enterprise Number):
First name:	
Date of birth (yyyy-mm-dd):	RBQ use only:

Enter the home address of the natural person or the adress of the enterprise's head office (a post office box is not accepted).

Address (number, street and city):					
Province:	Postal code:				
Phone no:	otional):				
Email:			Cell phone no (optional):		

2. Mandatory declarations of the officers

You must answer all the questions and declare any indictable offence, whether related or not related to the construction industry. The RBQ may at any time verify this information with Equifax Canada Inc. and the Sûreté du Québec.

YOU MUST ANSWER ALL THE QUESTIONS SO THAT YOUR APPLICATION IS COMPLETE.

Making a false statement constitutes an offence punishable with a fine and may lead to the refusal to issue a licence, the suspension of a licence or the cancellation of a licence.

of an indictable offence under se	ection 45 or 47 of the Competition A	ct (R.S.C., 1985, c. C-34)?
Yes, 5 years ago or less	Yes, more than 5 years ago	No
of an indictable offence under pa	aragraph 1 of section 380, under sec	tion 462.31 or any of sections 467.11 to 467.13 of the Crim
Code (R.S.C., 1985, c. C-46)?		
Yes, 5 years ago or less	Yes, more than 5 years ago	No
of an offence under sections 5, 6	or 7 of the Controlled Drugs and Su	ıbstances Act (S.C. 1996, c. 19)?
Yes, 5 years ago or less	Yes, more than 5 years ago	No
of any other indictable offence?		
Yes, 5 years ago or less	Yes, more than 5 years ago	No
ou answered "Yes, 5 years ago of Enter the name of the concerned	or less" to at least one of the last of officer(s):	questions:
ou answered "Yes, more than 5	years ago" to at least one of the l	ast questions:
· · · · · · · · · · · · · · · · · · ·		
Enter the name of the concerned		

B. Among the officers you listed, unless they obtained a pardon, has one of them been convicted by a court of Québec or outside of Québec:

Was a sentence to imprisonment imposed because of the offence or indictable offence?

Yes

3. Signature

Mandatory original signature of one of the officers of the legal person or partnership

I declare that all the information provided in this annex is accurate and complete, and that it represents the real situation of the legal person or partnership identified.

Last name:	First name:
Original signature of the officer:	Date of signature (yyyy-mm-dd):

Protection of personal information

Personal information that you submit to the RBQ as well as that which will be added to your file remain confidential, with the exception of the information published in the Licence holders' repertory, which can be consulted at www.rbq.gouv.qc.ca. The RBQ may use this information for the administration and enforcement of the Building Act. Only employees assigned to the enforcement of this Act shall have access to this information, to the extent that it is necessary for the performance of their duties.

ANNEX 3 – Description of the works

A copy of this annex must be **joined to any contract or invoice** that you enclose to this application as a supporting document. These documents must demonstrate that the enterprise has gained the necessary experience to carry out the works authorized by the subclass applied for.

MAKE PHOTOCOPIES, IF NECESSARY.

1. Identification of the subclass applied for

Which subclass do you want to add to the licence? See the list of licence su	ubclasses at www.rbq.gouv.qc.ca/subclasses.
Title of the subclass:	Number of the subclass:
2. Identification of the works carried	out
Number of the contract or invoice that you join as a supporting document:	:[
Client's name:	Works carried out in (year):
Indicate what you did the works on (check only one box):	
Civil engineering structures Civil engineering work that is not a structure Stands, grandstands or exterior terraces whose highest point, above the ground, is more than 1.2 m and whose load capacity is more than 60 persons Tents or outdoor air-supported structures to which the Chapter I of the Construction Code applies and used as residential occupancies or health care or detention occupancies whose floor area is more than 100 m² Tents or outdoor air-supported structures to which the Chapter I of the Construction Code applies and used as assembly occupancies or mercantile occupancies whose floor area is more than 150 m² or whose load capacity is more than 60 persons Belvederes built with materials other than backfill and constituted of horizontal platforms linked by their construction elements whose total area is more than 100 m² or whose load capacity is more than 60 persons including access facilities Elevators, lifts, dumbwaiters, escalators, moving walks and material lifts to which the CAN/CSA B44-00 standard applies	Elevating devices to which the CAN/CSA B355-00 or CAN/CSA B613-00 standard applies Elevating devices different from the previous ones Passenger ropeways or conveyors referred to in the CAN/CSA Z98-01 standard Electrical installations Installation intended to use, store and distribute gas Pressure installations independent of a building Plumbing installations independent of a building Lightning protection installations independent of a building Petroleum equipment installations Amusement rides and devices Bathing places Building referred to in Part 3 of the National Building Code, and its equipment Building referred to in Part 9 of the National Building Code, and its equipment
3. Description of the works carried out relevant to the approvide a complete description of the works carried out relevant to the appropriate applied for.	

Explications complémentaires

- Modify your licence: You must enter the licence number, complete the
 officer's or officers' mandatory declarations and enter the new information
 to add the subclass. Before sending the form, make sure that all the
 sections are completed, that all the documents are attached and that the
 formal declaration and the annexes are signed.
- Other names of the enterprise: Enter the other names of the enterprise used in Québec that are related to its activities as a contractor and that appears on its registration declaration.
- 3. Québec Enterprise Number (NEQ): Write the numerical identifier assigned by the Registraire des entreprises du Québec (REQ). It starts with 11 in the case of a legal person or with 22 in the case of a partnership. If the enterprise does not have a NEQ because it has not been registered with the REQ, you must join a copy of the certificate of incorporation, the shareholder agreement or the partnership agreement. However, you must register the enterprise within 60 days after the beginning of your activities in Québec.
- 4. Name of the enterprise: Enter the name appearing on the registration declaration, certificate of incorporation, articles of incorporation, letters patent, etc. Enterprises located in Newfoundland and Labrador that meet the conditions of the interprovincial agreement may write the name appearing on their registration with Commercial and Corporate Affairs Branch of Newfoundland and Labrador's Department of Government Services and Lands.
- Subclasses: The licence subclasses establish which works an enterprise is authorized to carry out. See the list of subclasses at www.rbq.gouv.qc.ca/ subclasses.
- 6. Officer: A member of a partnership, or in the case of a legal person, a director, officer as defined in the Business Corporations Act (president, chief executive officer, chief operating officer, chief financial officer or secretary of a corporation or a person holding a similar position, or any person designated as an officer of the corporation by a resolution of the board of directors), or a shareholder holding 10% or more of the voting rights; a full-time manager and, for construction work on an owner-builder's electrical installation, a journeyman electrician who has carried on the trade of an electrician for at least 2 years, is a full-time employee of the owner-builder and supervises such work on behalf of the owner-builder, are also deemed to be officers and are authorized to apply for a licence on behalf of a partnership or legal person.
- 7. Shareholder: This section concerns only shareholders holding 10% or more of the voting rights attached to the shares. In an enterprise, a shareholder is a natural or legal person who owns shares issued by this enterprise, regardless of their class.
- 8. **Director:** If you check the "director" box, make sure the officer is registered as a director with the Registraire des entreprises du Québec. Otherwise, you must join along with this application a copy of the application for modification submitted to the Registraire des entreprises du Québec, with the proof of its receipt.
- Term of imprisonment: The term of imprisonment includes the time served in a correctional facility, intermittent sentences (weekend jail) and conditional sentences (house arrest).
- 10. Lender: At the end of cash advance contract, you must identify all the lenders, other than financial institutions, credit unions or caisses d'économie, insurance companies, trust companies or saving companies. Moreover, this provision does not concern the account receivable of service or material providers, advances from shareholders, lines of credit and credit cards, or financial leasing.
- 11. Licence security: Licence security is a financial guarantee that any contractor holding a licence must provide in order to guarantee the performance of their contractual obligations toward their clients. For more information on licence security, go to www.rbq.gouv.qc.ca/licence-security.

- 12. **Guarantor:** The guarantor (company or association issuing security) must be registered with the Autorité des marchés financiers (AMF).
- 13. **Number of security**: Number appearing on the document attesting your licence security.
- Photo identification issued by a government authority: E.g., passport, driver's licence, national ID card with a photo.